SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). X

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					013	ecu	011.3	0(1) 01 1	ie inves	sunen	Company Act C	J 1940								
1. Name and Address of Reporting Person [*] CGP2 Lone Star, L.P.					2. Issuer Name and Ticker or Trading Symbol <u>Vroom, Inc.</u> [VRM]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner							
(Last) (First) (Middle) 599 WEST PUTNAM AVENUE						3. Date of Earliest Transaction (Month/Day/Year) 07/19/2021								Officer (give title Other (specify below) below)						
					4. If	Am	endr	ment, Da	te of O	riginal	Filed (Month/Da	ay/Year)			dividual or	Joint/G	roup Fili	ng (Ch	eck Ap	plicable
(Street) GREENWICH CT 06830														Line) Form filed by One Reporting Person X Form filed by More than One Reporting						
(City)	(S	tate) (Zip)												• Perso	n				
		Table	e I -	Non-Deriva	ative	Se	cur	rities A	cqui	red, I	Disposed of	f, or B	enefic	cial	ly Own	ed				
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Yea		ar) 2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)				∍d (A) or tr. 3, 4 and		5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
									Code	v	Amount	(A) or (D)	Price	Transaction(s)		(11150.4	9		. 4)	
Common	Common Stock			07/19/202	2021				J ⁽¹⁾		10,589,776	D	\$0.00	0	4,73	34	I			
Common Stock				07/19/202	1				J ⁽¹⁾		6,994,354	D	\$0.00	0	0		I		Dire held CGI Zoo Hole L.P.	by 22 m ding,
Common Stock													318,181		I		Directly held by LCGP3 Accelerator, L.P. ⁽³⁾			
		Та	ble								isposed of, s, convertib				v Ownee	b				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) 8) 5. Number of Expiration Date (Month/Day/Year) 8) 6. Date Exercisable and 7 A Code (Instr. 8) Code (Instr. 8) 6. Date Exercisable and Code (Instr. 8) Code (Instr.		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		D S (I	8. Price of Derivative Security (Instr. 5) Benef Owner Follow Repor		ities Form: icially Direct d or Ind ving (I) (Ins rted action(s)		(D) irect	11. Natu of Indiro Benefic Owners (Instr. 4								
					Code	v		(A) (I	Da D) Ex	te ercisa	Expiration Date	Title	Amount or Number of Shares	r						
	nd Address o Lone Sta	f Reporting Person r, <u>L.P.</u>	*									· · ·								
(Last) 599 WE	ST PUTNA	(First)		(Middle)																
(Street) GREEN	WICH	СТ		06830																
(City)		(State)		(Zip)																
		f Reporting Person blding, L.P.	*																	
(Last)		(First)		(Middle)		-														

(Middle)

(Last)

(First)

599 WEST PUTNAM AVENUE

(Street)								
(Street) GREENWICH	СТ	06830						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person* LCGP3 Accelerator, L.P.								
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)						
(Street) GREENWICH	СТ	06830						
(City)	(State)	(Zip)						
1. Name and Address <u>CGP2 Manage</u>								
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)						
(Street) GREENWICH	СТ	06830						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person [*] CGP3 Managers, L.L.C.								
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)						
(Street) GREENWICH	СТ	06830						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person [*] Catterton Management Company, L.L.C.								
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)						
(Street) GREENWICH	СТ	06830						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person* Chu James Michael								
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)						
(Street) GREENWICH	СТ	06830						
(City)	(State)	(Zip)						

Explanation of Responses:

1. Each of CGP2 Lone Star, L.P. and CGP2 Zoom Holding, L.P. distributed its shares of common stock in-kind to its limited partners.

Remarks:

^{2.} Each of Michael Farello and Scott Dahnke have entered into a Nominee and Indemnity Agreement, pursuant to which they have agreed to hold any equity awards granted to them for their service as directors of the Issuer as nominee for CGP2 Lone Star, L.P. ("CGP2 Lone Star"). Catterton Management Company, L.L.C., as manager of CGP2 Lone Star, may be deemed to share beneficial ownership of the equity awards held as nominee by Messrs. Farello and Dahnke. Each of the foregoing entities and individuals disclaims beneficial ownership the shares underlying such equity awards except to the extent of their pecuniary interest therein, if any.

^{3.} CGP2 Managers, L.L.C. ("CGP2 Managers") is the general partner for each of CGP2 Zoom Holding, L.P. ("CGP2 Zoom Holding") and CGP2 Lone Star. CGP3 Managers, L.L.C. ("CGP3 Managers") is the general partner of LCGP3 Accelerator, L.P. ("LCGP3 Accelerator"). As such, CGP2 Managers may be deemed to beneficially own the shares held of record by each of CGP2 Zoom Holding and CGP2 Lone Star, and CGP3 Managers may be deemed to beneficially own the shares held of record by each of CGP2 Zoom Holding and CGP2 Lone Star, and CGP3 Managers may be deemed to beneficially own the shares held of record by each of CGP2 Zoom Holding and CGP2 Lone Star, and CGP3 Managers, L.L.C. and CGP3 Managers, L.L.C. and as such may be deemed to share beneficial ownership of the securities held of record by each of the foregoing entities. Each of Mr. Chu and Mr. Dahnke disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein, if any. A Form 4 is being filed separately by Mr. Dahnke in his capacity as a director of the Issuer.

<u>CGP2 Managers, L.L.C., its</u> g <u>eneral partner, By: /s/ Scott</u> <u>A. Dahnke, Title: Managing</u> <u>Member</u>	
<u>CGP2 Zoom Holding, L.P.,</u> <u>By: CGP2 Managers, L.L.C.,</u> <u>its general partner, By: /s/</u> <u>Scott A. Dahnke, Title:</u> <u>Managing Member</u>	<u>07/21/2021</u>
LCGP3 Accelerator, L.P., By: CGP3 Managers, L.L.C., its general partner, By: /s/ Scott A. Dahnke, Title: Managing Member	<u>07/21/2021</u>
<u>CGP2 Managers, L.L.C., L.P.,</u> <u>By: /s/ Scott A. Dahnke, Title:</u> <u>Managing Member</u>	<u>07/21/2021</u>
<u>CGP3 Managers, L.L.C., By:</u> /s/ Scott A. Dahnke, Title: <u>Managing Member</u>	<u>07/21/2021</u>
<u>Catterton Management</u> <u>Company, L.L.C., By: /s/</u> <u>Daniel Reid, General Counsel</u>	<u>07/21/2021</u>
<u>/s/ J. Michael Chu</u> ** Signature of Reporting Person	<u>07/21/2021</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.