SEC Form 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>MYLOD ROBERT J JR</u>					2. Issuer Name and Ticker or Trading Symbol Vroom, Inc. [VRM]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) C/O VROOM, INC.						3. Date of Earliest Transaction (Month/Day/Year) 06/11/2020										(give title	Other (specify below)		
1375 BROADWAY, FLOOR 11					4.	If Am	endm	ent, Date	of Original	Filed	I (Month/D	6. In	dividual or J	oint/Group	Filing	(Check Ap	olicable		
(Street) NEW YORK NY 10018									Ū		,	Line	Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State) (Zip)																		
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) 2. Transa Date (Month/D							2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 7) 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4			Beneficia Owned F	s Illy ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	mount (A) or (D)		Price	Reported Transacti (Instr. 3 a	on(s)			(Instr. 4)
Common	Stock			06/1	1/20	20			С		301,42	12 ⁽¹⁾ A		(1)	499	,472	D		
Common Stock 06/1				1/20	/2020			С		944,60	,668 ⁽¹⁾ A		(1)	1,148,540		I		By Annox Capital, LLC ⁽²⁾	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, T	4. Fransa Code (3)	action (Instr.	Derivative E		Expiratio	6. Date Exercisable Expiration Date (Month/Day/Year)		of Securities		curity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s Illy J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				c	Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	OI N	mount umber Shares		(Instr. 4)			
Series G Preferred Stock	(1)	06/11/2020			С			117,542	(1)		(1)	Com Sto		17,542	(1)	0		D	
Series H Preferred Stock	(1)	06/11/2020			С			183,870	(1)		(1)	Com Sto		83,870	(1)	0		D	
Series B Preferred Stock	(1)	06/11/2020			С			4,260	(1)		(1)	Com Sto		4,260	(1)	0		I	By Annox Capital, LLC ⁽²⁾
Series C Preferred Stock	(1)	06/11/2020			С			168,502	(1)		(1)	Com Sto		68,502	(1)	0		I	By Annox Capital, LLC ⁽²⁾
Series D Preferred Stock	(1)	06/11/2020			С			303,814	(1)		(1)	Com Sto		03,814	(1)	0		I	By Annox Capital, LLC ⁽²⁾
Series E Preferred Stock	(1)	06/11/2020			С			92,456	(1)		(1)	Com Sto		92,456	(1)	0		I	By Annox Capital, LLC ⁽²⁾
Series F Preferred Stock	(1)	06/11/2020			С			264,222	(1)		(1)	Com Sto		64,222	(1)	0		I	By Annox Capital, LLC ⁽²⁾
Series G Preferred Stock	(1)	06/11/2020			С			111,414	(1)		(1)	Com Sto		11,414	(1)	0		I	By Annox Capital, LLC ⁽²⁾

Explanation of Responses:

1. Upon the closing of the Issuer's initial public offering, each share of preferred stock automatically converted into shares of the Issuer's common stock on a one-for-one basis.

2. The Reporting Person is the managing member of Annox Capital, LLC and as a result may be deemed to beneficially own the securities held of record by Annox Capital, LLC. The Reporting Person disclaims such beneficial ownership except to the extent of his pecuniary interest therein, if any.

Remarks:

All share numbers reported in this Form 4 reflect a 2-for-1 stock split effected by the Issuer in connection with its initial public offering, which was exempt from reporting pursuant to Rule 16a-9.

By: /s/ Alison Klein, Attorney-06/15/2020

in-Fact for Robert J. Mylod

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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