| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | |
|--------------------------|-----------|--|--|--|--|--|
| Estimated average burden | | | | | | |
| hours per response: | 0.5 | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Hennessy Paul J. | | | | | 2. Issuer Name and Ticker or Trading Symbol Vroom, Inc. [VRM] | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|--|---|--|--|---------------|---|--|--------|--|---------------------|--------------------|--|--|---|---|---|----------------------------|---|--------------------------------------|
| <u>Trenicovy turis</u> | | | | | | | X | | | | 10% Ov | - | | | | | | |
| | | | | | | | | X | Officer (below) | give title | | Other (s below) | specify | | | | | |
| | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/11/2020 | | | | | | | | , | ief Exec | utive | , , , | |
| C/O VROOM, INC. | | | | | 00/11/ | _0_0 | | | | | | | | Ch | | uuve | Officer | |
| 1375 BROADWAY, FLOOR 11 | | | | Ļ | | | | | | | | | | | | | | |
| | | | | · | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) | | | | | | | | | | | | | Line) | Form file | nd by Opo | Dono | rting Dorcor | |
| NEW YO | ORK N | IY | 10018 | | | | | | | | | | Form filed by One Reporting Person Form filed by More than One Reporting | | | | | |
| , | | | | | | | | | | | | | | Person | | e man | Опе керог | ung |
| (City) | (5 | State) | (Zip) | | | | | | | | | | | | | | | |
| <u> </u> | | Т | able I - Nor | י ח-Deriva | tive S | ecuritie | es Acq | uired, | Dis | posed of | f, or Be | nefic | ; cially (| Owned | | | | |
| 1 Title of | Security (Ins | tr 3) | | 2. Transac | | | | | | | or | 5. Amoun | tof | 6. Ownership | | 7. Nature of | | |
| Date | | | | | | Execution Date, if any (Month/Day/Year) | | Transaction Disposed Of (D) (Instr. 3, 4 Code (Instr. | | | | | Securities Beneficially | | Form: Direct | | Indirect Beneficial | |
| | | | | ,, | | | | | | | Owned Following Reported | | (I) (Instr. 4) | | Ownership (Instr. 4) | | | |
| | Code V Amount (A) or (D) | | | | | Pr P | rice | Transaction(s) (Instr. 3 and 4) | | | | (1130. 4) | | | | | | |
| Common Stock 06/1 | | | 06/11/2 | 1/2020 | | С | | 9,706 | 1) A | | (1) | 363,800 | | | D | | | |
| | | | Table II - | Dorivati | 0 50 | curities | | uirod D | lien | hosed of | or Ben | oficia | ally O | wned | | 1 | 1 | |
| | | | | | | | | | | convertit | | | | wiicu | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date if any (Month/Day/Yea | Code (Inst | | | | 6. Date Exercisable a Expiration Date (Month/Day/Year) | | te | d 7. Title and An of Securities Underlying Derivative Sec (Instr. 3 and 4) | | | 8. Price of Derivative Security (Instr. 5) | 9. Numb derivativ Securitie Beneficia Owned Followin Reported Transact | re es ally g d | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4 | Beneficial Ownershi (Instr. 4) |
| | | | | Code | | (A) | (D) | Date Exercisa | ble | Expiration Date | Title | or Nun | ount nber Shares | | (Instr. 4) | ., | | |
| | | | | Coue | ľ | | | LACICIDA | e | Dult | - nue | | | | | | | |
| Series G Preferred Stock | (1) | 06/11/2020 | | С | | | 9,706 | (1) | | (1) | Common Stock | ¹ 9, | ,706 | (1) | 0 | | D | |

(3)

Explanation of Responses:

1. Upon the closing of the Issuer's initial public offering, each share of preferred stock automatically converted into shares of the Issuer's common stock on a one-for-one basis.

168,882

2. Reflects adjustment of the exercise price pursuant to the stock split effected by the Issuer in connection with its initial public offering.

Α

3. The option is fully vested and exercisable.

\$3.39⁽²⁾

Remarks:

Stock

Optior

All share numbers reported in this Form 4 reflect a 2-for-1 stock split effected by the Issuer in connection with its initial public offering, which was exempt from reporting pursuant to Rule 16a-9.

| By: /s/ Alison Klein, Attorney- in-Fact for Paul J. Hennessy | <u>06/15/2020</u> |
|---|-------------------|
| ** Signature of Reporting Person | Date |

168,882

\$0.00

1,351,062

D

** Signature of Reporting Person

Common Stock

12/06/2026

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

06/11/2020

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.