SEC Form 4

(City)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

(Check all applicable)

X Director

Line)

Х

below)

Person

Officer (give title

5. Relationship of Reporting Person(s) to Issuer

6. Individual or Joint/Group Filing (Check Applicable

Form filed by One Reporting Person

Form filed by More than One Reporting

OMB Number:	3235-0287
Estimated average bu	rden
hours per response:	0.5
	Estimated average bu

X 10% Owner

below)

Other (specify

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	STATEMENT OF CHANGES IN BENEFICIAL OWN Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940				
1. Name and Address of Reporting Person <u>CGP2 Lone Star, L.P.</u>	on*	2. Issuer Name and Ticker or Trading Symbol Vroom, Inc. [VRM]	5. Relations (Check all a X Dire		
(Last) (First) 599 WEST PUTNAM AVENUE	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/24/2021	Off bel		
,		4. If Amendment, Date of Original Filed (Month/Dav/Year)	6. Individual		

(Street)		
GREENWICH	CT	06830
,		

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	06/24/2021		A		4,734 ⁽¹⁾	A	\$0.00	10,594,510	Ι	Directly held by CGP2 Lone Star, L.P. ⁽²⁾ (3)
Common Stock								318,181	I	Directly held by LCGP3 Accelerator, L.P. ⁽³⁾
Common Stock								6,994,354	I	Directly held by CGP2 Zoom Holding, L.P. ⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(* 57)**	,			,	• •				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Dispe of (D	r osed) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

1. Name and Address of Reporting Person*

CGP2 Lone S	<u>tar, L.P.</u>					
(Last) 599 WEST PUTI	(First) NAM AVENUE	(Middle)				
(Street) GREENWICH	СТ	06830				
(City)	(State)	(Zip)				
1. Name and Address of Reporting Person* <u>CGP2 Zoom Holding, L.P.</u>						
(Last) 599 WEST PUTI	(First) NAM AVENUE	(Middle)				

(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)
1. Name and Address LCGP3 Accele		
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)
(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)
1. Name and Address <u>CGP2 Manage</u>		
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)
(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)
1. Name and Address <u>CGP3 Manage</u>		
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)
(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)
1. Name and Address Chu James Mic		
(Last) 599 WEST PUTN	(First) AM AVENUE	(Middle)
(Street) GREENWICH	СТ	06830
(City)	(State)	(Zip)

Explanation of Responses:

1. Represents an award of restricted stock units that vest in full on the earlier of the day immediately preceding the date of the Issuer's first annual meeting of stockholders following the date of grant and June 24, 2022. Each restricted stock unit represents a contingent right to receive one share of Common Stock of the Issuer.

2. Each of Michael Farello and Scott Dahnke have entered into a Nominee and Indemnity Agreement, pursuant to which they have agreed to hold any equity awards granted to them for their service as directors of the Issuer as nominee for CGP2 Lone Star, L.P. ("CGP2 Lone Star"). Catterton Management Company, L.L.C., as manager of CGP2 Lone Star, may be deemed to share beneficial ownership of the equity awards held as nominee by Messrs. Farello and Dahnke. Each of them disclaims beneficial ownership of such shares except to the extent of their pecuniary interest therein, if any. Catterton Management Company, L.L.C. is separately filing a Form 3 to report the equity awards referenced herein.

3. CGP2 Managers, L.L.C. ("CGP2 Managers") is the general partner for each of CGP2 Zoom Holding, L.P. ("CGP2 Zoom Holding") and CGP2 Lone Star. CGP3 Managers, L.L.C. ("CGP3 Accelerator"). As such, CGP2 Managers may be deemed to beneficially own the shares held of record by each of CGP2 Zoom Holding and CGP2 Lone Star, and CGP3 Managers may be deemed to beneficially own the shares held of record by LCGP3 Accelerator. J. Michael Chu and Scott A. Dahnke are the members of the managing board of each of CGP2 Managers, L.L.C. and CGP3 Managers, L.L.C. and as such may be deemed to share beneficial ownership of the securities held of record by each of the foregoing entities. Each of Mr. Chu and Mr. Dahnke disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein, if any. A Form 4 is being filed separately by Mr. Dahnke in his capacity as a director of the Issuer.

Remarks:

CGP2 Lone Star, L.P., By:
CGP2 Managers, L.L.C., its
general partner, By: /s/ Scott06/28/2021A. Dahnke, Title: Managing
Member06/28/2021CGP2 Zoom Holding, L.P.,
By: CGP2 Managers, L.L.C.,
its general partner, By: /s/
Scott A. Dahnke, Title:
Managing Member06/28/2021LCGP3 Accelerator, L.P., By:
06/28/202106/28/2021

CGP3 Managers, L.L.C., itsgeneral partner, By: /s/ ScottA. Dahnke, Title: ManagingMemberCGP2 Managers, L.L.C., L.P.,By: /s/ Scott A. Dahnke, Title:06/28/2021Managing MemberCGP3 Managers, L.L.C., By:/s/ Scott A. Dahnke, Title:06/28/2021Managing Member/s/ Scott A. Dahnke, Title:06/28/2021Managing Member/s/ Scott A. Dahnke, Title:06/28/2021Managing Member/s/ J. Michael Chu06/28/2021** Signature of Reporting PersonDate

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.